



Docket No. 10010463-1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Dan Bolar

Application No.: 09/972,541  
Filed: Oct. 8, 2001  
Group Art Unit: 2184  
Examiner: Not Yet Assigned

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: November 15, 2001

Signature: Gail Miller  
(Gail Miller)

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For: **SYSTEM AND METHOD FOR PROVIDING  
DISTRIBUTED FAULT MANAGEMENT  
POLICIES IN A NETWORK  
MANAGEMENT SYSTEM**

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed within three months of the U.S. filing date OR within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR 1.97(b), whichever event occurs last. No certification or fee is required.

A copy of each reference on PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

- ☒ [ x ] not given
- ☐ [ ] given for each listed item
- ☐ [ ] given for only non-English language listed items
- ☐ [ ] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380.

Dated: November 15, 2001

Respectfully submitted,

By   
Jody C. Bishop

Registration No.: 44,034  
FULBRIGHT & JAWORSKI L.L.P.  
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Attorneys for Applicant



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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/972,541
				Filing Date	10/8/01
				First Named Inventor	Dan Bolar
				Group Art Unit	2184
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	10010463-1

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	09/345,634 <i>Fault Management system and Method</i> , Maarten Tentij et al., filed 6/30/99	
	B	09/469,025 <i>System and Method for Policy-Based Network Management</i> , Maarten Tentij, filed 12/21/99	
	C	09/770,427 <i>System and Method for Managing a Communication Network Utilizing State-Based Polling</i> , Semih Secer, filed 1/26/01	
	D	09/816,693 <i>Object-Driven Network Management System Enabling Dynamically Definable Management Behavior</i> , Semih Secer, filed 3/22/01	
	E	09/945,111 <i>System and Method for Flexible Processing of Management Policies for Managing Network Elements</i> , Gary R. Klein et al., filed 8/31/01	
	F	09/945,112 <i>User-Friendly System and Method for Defining Policies for Managing Network Elements</i> , Gary R. Klein et al., filed 8/31/01	
	G	09/945,372 <i>System and Method for Defining Management Policies for Managing Network Elements</i> , Gary R. Klein et al., filed 8/31/01	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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2184  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/972,541	
	Filing Date	Oct. 8, 2001	
	First Named Inventor	Dan Bolar	
	Group Art Unit	2184	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	10010463-1

ENCLOSURES (check all that apply)		
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Signature	
Date	November 15, 2001

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